



#9 / IDS
9-3-02
R. Stubs

ROH-0030

Patent Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Keiichi DEN

Group Art Unit: 2827

Application No.: 09/702,870

Examiner: L. Thai

Filed: November 1, 2000

For: SEMICONDUCTOR DEVICE OF CHIP-ON-CHIP STRUCTURE WITH
RADIATION NOISE SHIELD

RECEIVED
AUG 28 2002
TECHNOLOGY CENTER 2800

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56.

- ☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98.
- ☐ As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. _____, filed _____.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Concise Explanation of Relevancy of the Document

☒ Each of the documents has been cited in a communication from a foreign patent office in a counterpart foreign application or its related application including a PCT application. A copy of the communication is attached hereto, accompanied by English translation of at least relevant part, if it is not in English. English translation of the Documents B3-B6 is also attached. The translation was made by a computer through a web site of the Japanese Patent Office (JPO). However, "the translation may not reflect the original precisely" according to the JPO. English translation of the Documents B1 and B2 is not readily available; however, the absence of such translations does not relieve the PTO from its duty to consider the submitted document (37 C.F.R. §1.98 and MPEP §609).


☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application, but before payment of the Issue Fee (37 C.F.R. §1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. The Patent Office is authorized to charge deposit account No. 18-0013 in the amount of \$180.00 under 37 CFR §§ 1.97(d)(2) and 1.17(p) in view of the statement below.

☒ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☒ 2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

Respectfully submitted,

Date: August 27, 2002


Robert S. Green
Registration No. 41,800

Rader, Fishman & Grauer PLLC
1233 20th Street, N.W.,
Suite 501
Washington, D.C. 20036
Tel: (202) 955-3750
Fax: (202) 955-3751

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Atty. Docket No.

ROH-0030

Serial No.

09702,870

Applicant

Keiichi DEN

Filing Date

November 1, 2000

Group

2827

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	B1	62-194652	08/1987	Japan				Abstract
	B2	4-048768	02/1992	Japan				Abstract
	B3	5-152508	06/1993	Japan			X	
	B4	6-005778	01/1994	Japan			X	
	B5	9-017945	01/1997	Japan			X	
	B6	2001-077297	03/2001	Japan			X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Page, Etc.)

EXAMINER

DATE CONSIDERED

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

